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# Conference on Clinical Cancer Research

Panel Four:

Development Paths for New Drugs with  
Large Treatment Effects Seen Early

November 10, 2011 • Washington, DC



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# Conference on Clinical Cancer Research

Development Paths for New Drugs with  
Large Treatment Effects Seen Early

Mikkael Sekeres  
Cleveland Clinic

November 10, 2011 • Washington, DC



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# **Development Paths for New Drugs with Large Treatment Effects Seen Early**

**Jane Perlmutter**  
**Patient Advocate**

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# Importance/Public Relevance

Many *patients* can not afford *patience*; neither should researchers or regulators



# What Does the Public Want?

- ***We all want the same thing***
  - Highly effective, long-acting therapies
  - Few side effects
  - Manageable costs



# What Does the Public Want?

- ***We each have different priorities***
  - Trade offs between length and quality of life
  - Trade offs among severity and length of toxicities
  - Concerns about late-occurring toxicities



# Balancing Needs of Current & Future Patients



- Need treatments NOW
- May be willing to try unproven treatments and/or very toxic treatments

- Need well-tested treatments with minimal side effects
- Need current patients to be willing to participate in clinical trials

# Large Treatment Effects

## Clear Cases

- Potentially curative, or at least long-term chronic disease
- Very likely to be effective in approved target population (e.g., >80%), even if it is a small group
- Limited additional toxicities

## Questionable Cases

- Adds weeks or months to life
- Significantly better rate of effectiveness (e.g., doubling)
- Moderate additional toxicities





# Alternative Paths to FDA Approval

	Accelerated Approval	Potential New Mechanisms
<b>When Appropriate</b>	<ul style="list-style-type: none"><li>• Significant early effects for diseases with limited other options</li></ul>	<ul style="list-style-type: none"><li>• Unusually large effects in early trials</li></ul>
<b>Pros</b>	<ul style="list-style-type: none"><li>• Make potentially useful new agents rapidly available to patients with limited options</li><li>• Provide early opportunity for developers to receive reimbursements</li><li>• Provide additional assessment of safety (including late occurring toxicities) and efficacy</li></ul>	<ul style="list-style-type: none"><li>• Make potentially useful new agents rapidly available to patients with limited options</li><li>• Provide early opportunity for developers to receive reimbursements</li><li>• Eliminate the need to randomize additional patients</li></ul>
<b>Cons</b>	<ul style="list-style-type: none"><li>• Require additional randomization of patients</li></ul>	<ul style="list-style-type: none"><li>• Provide little opportunity to identify late-occurring toxicities</li></ul>



# Challenge

## Think Outside the Box

### Challenge

- Ethical and practical issues accruing patients to randomized trials once new agents become available
- Increasingly small populations
- Difficulty dealing with multiple outcomes

### Potential Solution

- Unbalanced and/or adaptive randomization designs; registration trials
- Decision Analysis
- Bayesian Approaches

# 2011 Conference on Clinical Cancer Research

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## Potential Approaches for Large Treatment Effects Seen Early in Development

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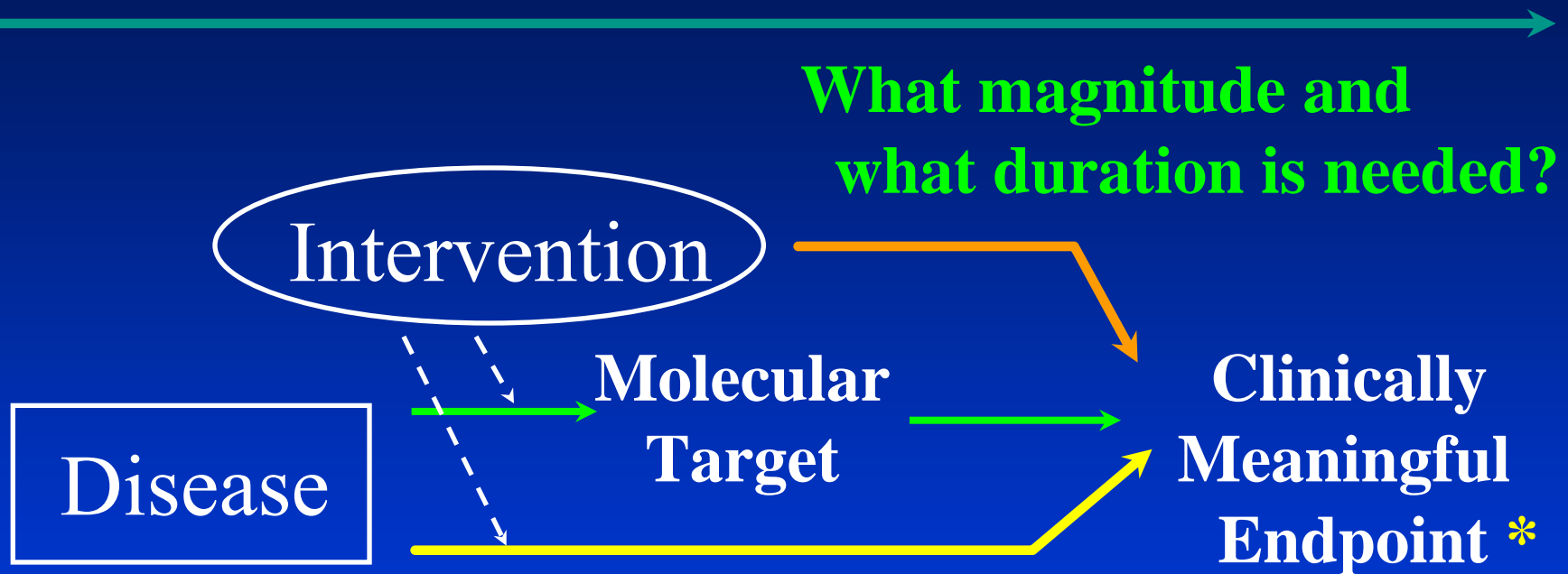
Thomas R. Fleming, Ph.D.

*Professor of Biostatistics  
University of Washington*

*t Fleming@u.washington.com*

Fleming TR, Richardson BA. *JID* 190(4): 666-674, 2004

# Mechanisms of Action of the Intervention & Causal Pathways of the Disease Process



- \* IOM (2010) & Temple (FDA):  
Direct measures of  
“feels, functions or survives”

# Development Strategies

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After Phase 1

...if early results are very favorable...

What should be the next step?

~ **Phase 2b: (Randomized Screening Trial)**

...if true effect is *moderate*

~ **Phase 3: (Randomized Registration Trial)**

...if true effect is *very large*

# Development Strategies

---

## ~ Phase 2b: (Randomized Screening Trial)

...if true effect size is *moderate*...

## ~ Phase 3: (Randomized Registration Trial)

...if true effect size is *very large*...

### Some properties:

- Randomization  $\Rightarrow$  Assessments not limited to:  
tumor response, for single agent regimens  
...E.g., Can assess OS, PFS, PROs, (i.e. regis. endpoints)  
for either single agent or add-on regimens
- Confidentiality of interim results reduces pre-judgment

# Statistical Principles

---

- Goals for Phase 2b screening trial
  - ~ Large enough to support proof of concept
  - ~ Small enough to be a measured step before Phase 3
- Assumes identical Phase 2b and Phase 3 endpoints
- For illustration, assume
  - control arm median is 6 months
  - ~ Likely realistic for
    - Survival in 2<sup>nd</sup> or 3<sup>rd</sup> line NSCLC
    - PFS in 1<sup>st</sup> & 2<sup>nd</sup> line Breast Cancer
    - Survival in 1<sup>st</sup> line Pancreas Cancer
  - ~ Will require adjustment for different settings;  
principles remain

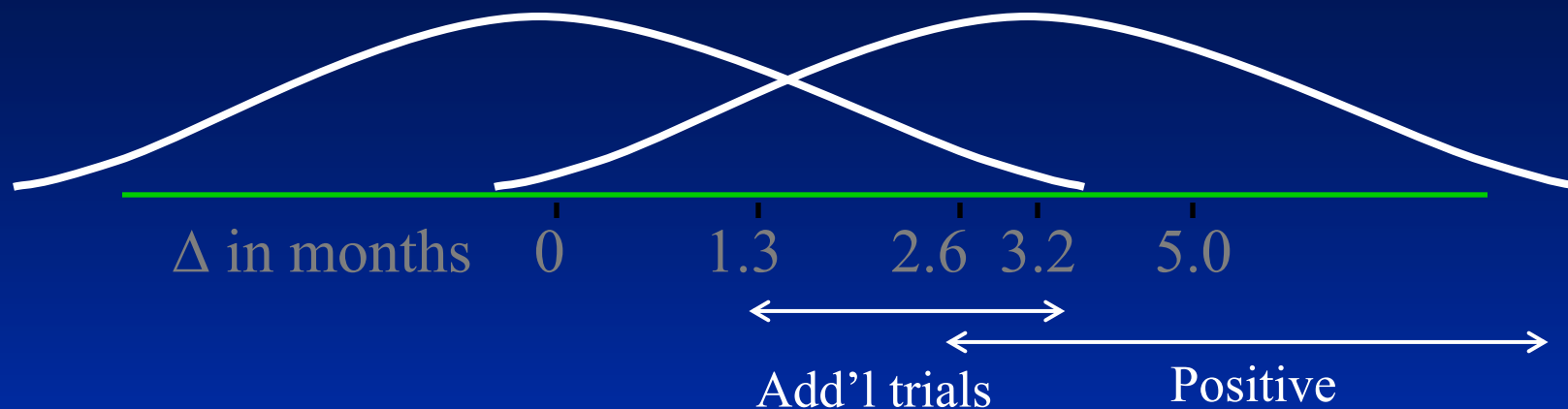
# Phase 3 Design Considerations

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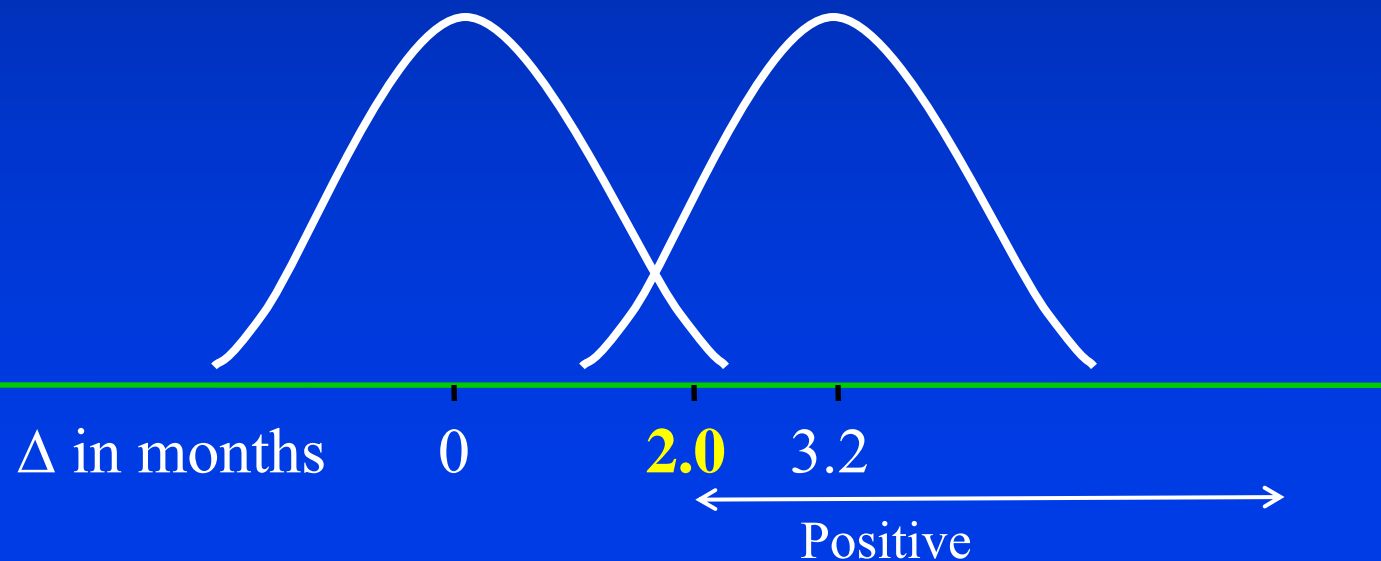
- Illustration:
  - Suppose a 6 vs. 8 month improvement is the smallest benefit of clinical significance...
  - In turn, the trial should have 90% power to detect a true  $RR=0.65$  (a 6 vs. 9.2 month difference)



## Outcome Probabilities — Phase 2b Trial Design, (120 events)



## Outcome Probabilities — Phase 3 Trial Design, (451 events)

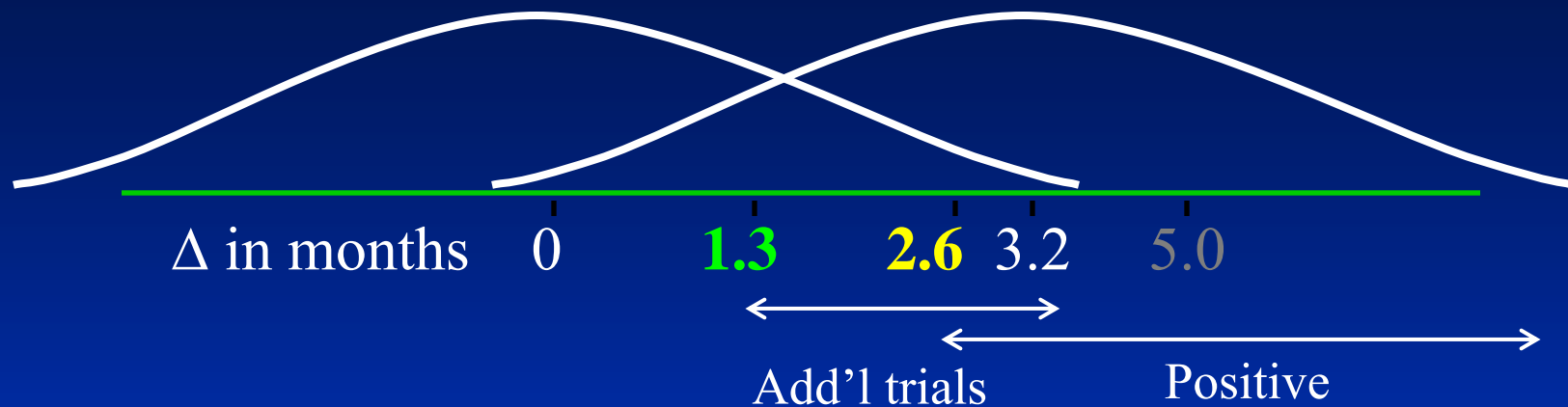


# Phase 2b Trial Considerations

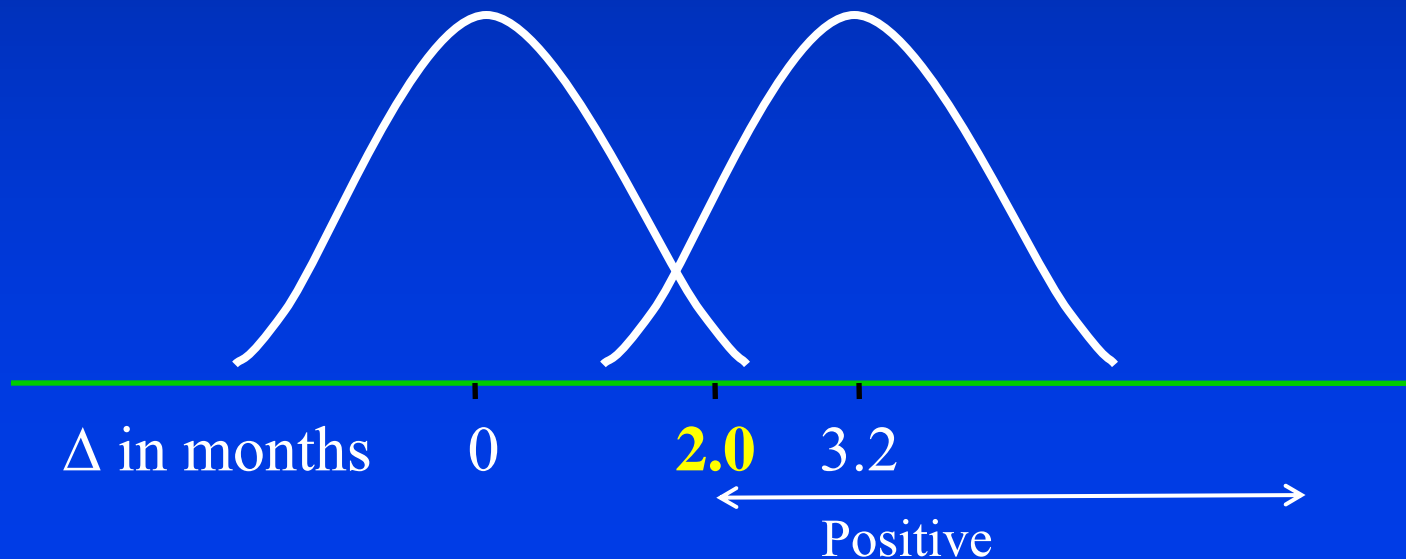
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- Objective:
  - ~ Maintain low (i.e. 10%) false negative error rate while allowing a 10% to 15% false positive rate
- Target sample size:
  - ~  $\frac{1}{4}$  the size of a stand alone registrational Phase 3 trial (i.e.,  $\frac{1}{4}$  of an SOE2 trial)
- 120 events (approx.  $451 * .25$ )

## Outcome Probabilities — Phase 2b Trial Design, (120 events)



## Outcome Probabilities — Phase 3 Trial Design, (451 events)



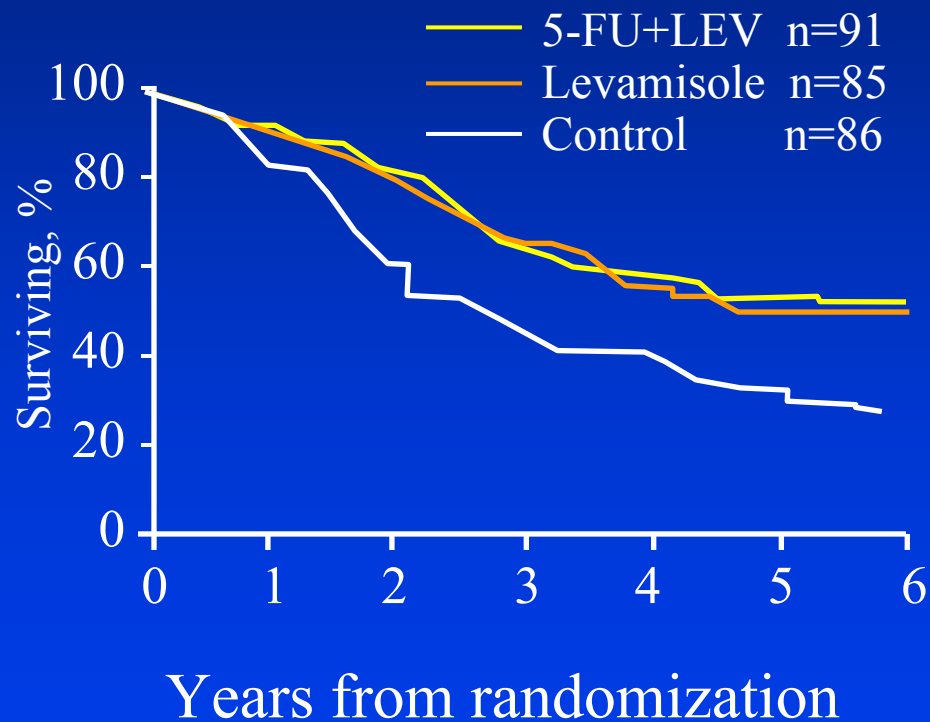
# Phase 2b Sample Size & Duration

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- Total sample size for the trial:  $2N = 220$ 
  - ~ 120 events;
  - Prob. stat sign: **66%** if true RR = 0.65 (i.e.  $\Delta = 3.2$  mo)
  - ~ Rule out ineffective indications
    - with **86%** probability
  - ~ Rule in effective indications
    - with **90%** probability
- 8 month duration of enrollment
  - ...Assume enrollment 28 patients per month
- 4 additional months of follow-up
- Data available for analysis approximately
  - one year after initiation of enrollment

# SURGICAL ADJUVANT THERAPY OF COLORECTAL CANCER

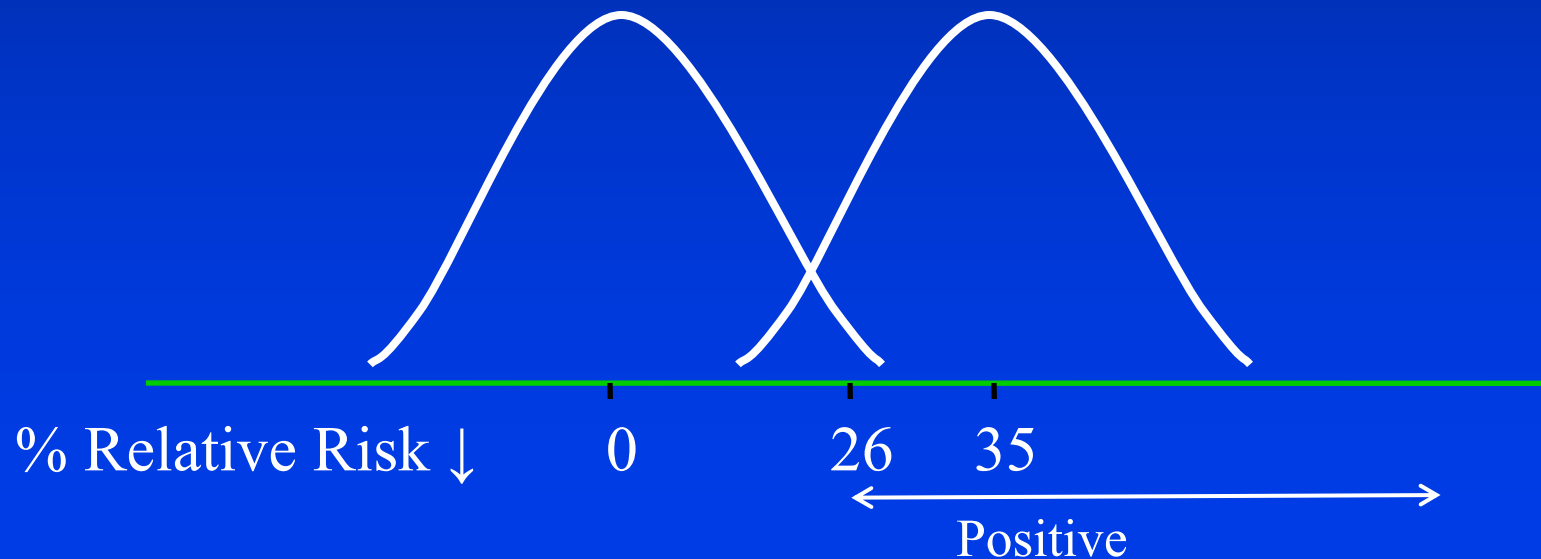
## NCCTG Trial



## Outcome Probabilities — Phase 2b Trial Design, (120 events)



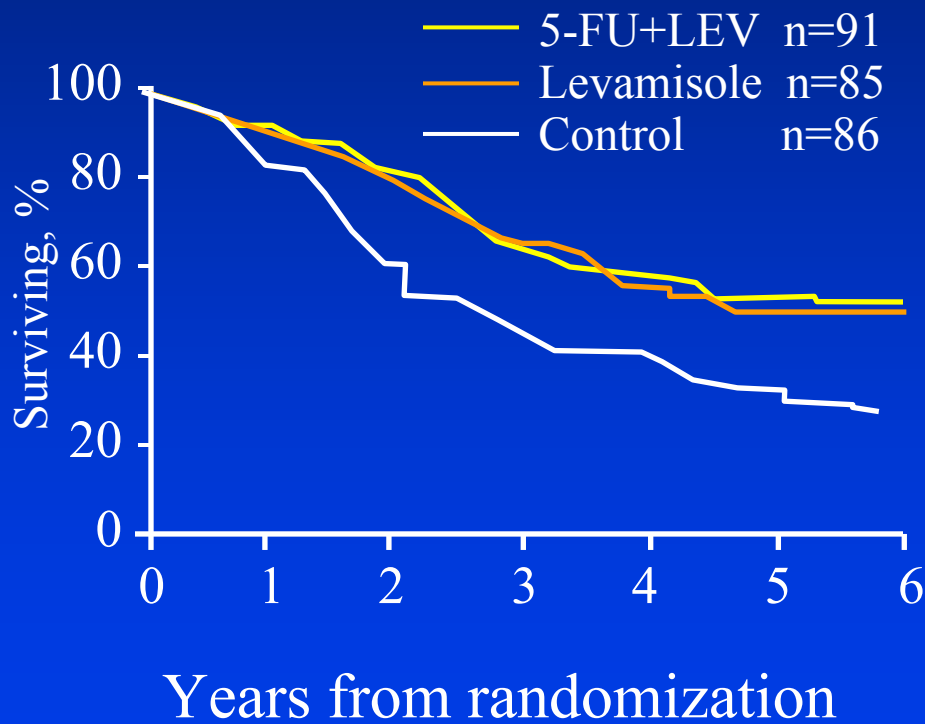
## Outcome Probabilities — Phase 3 Trial Design, (451 events)



# SURGICAL ADJUVANT THERAPY OF COLORECTAL CANCER

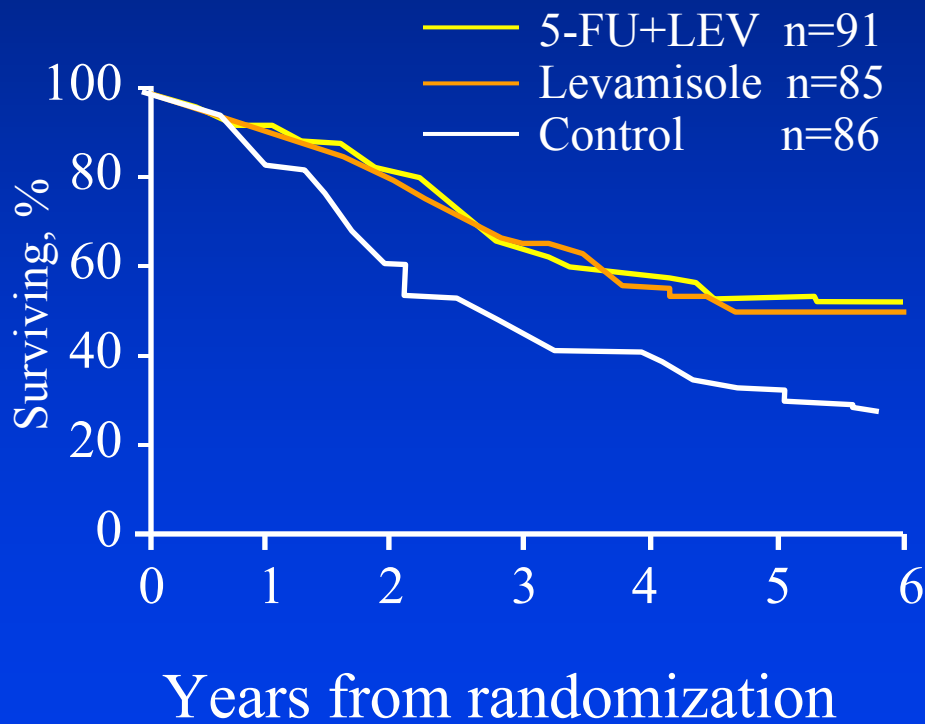
NCCTG Trial

Cancer Intergroup Trial

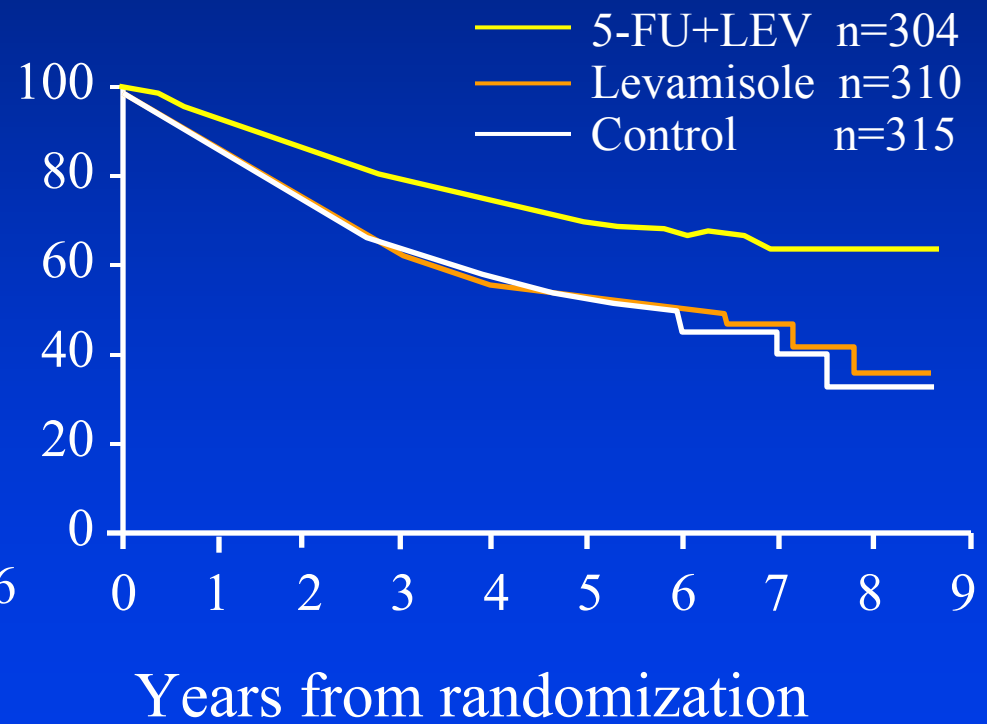


# SURGICAL ADJUVANT THERAPY OF COLORECTAL CANCER

## NCCTG Trial



## Cancer Intergroup Trial





# Statistical Summary

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- Phase 2b designed  
with subsequent Phase 3 in mind
- Goals:
  - ~ to screen out ineffective indications, &
  - ~ to screen in the effective indications  
with high probabilities
- If “signal” seen, requires confirmation in Phase 3
  - Probability of Phase 3 success therefore enriched
- *Strongly favorable evidence from Phase 2b  
could allow consideration of registration...*

# Development Strategies

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After Phase 1

...if early results are very favorable...

What should be the next step?

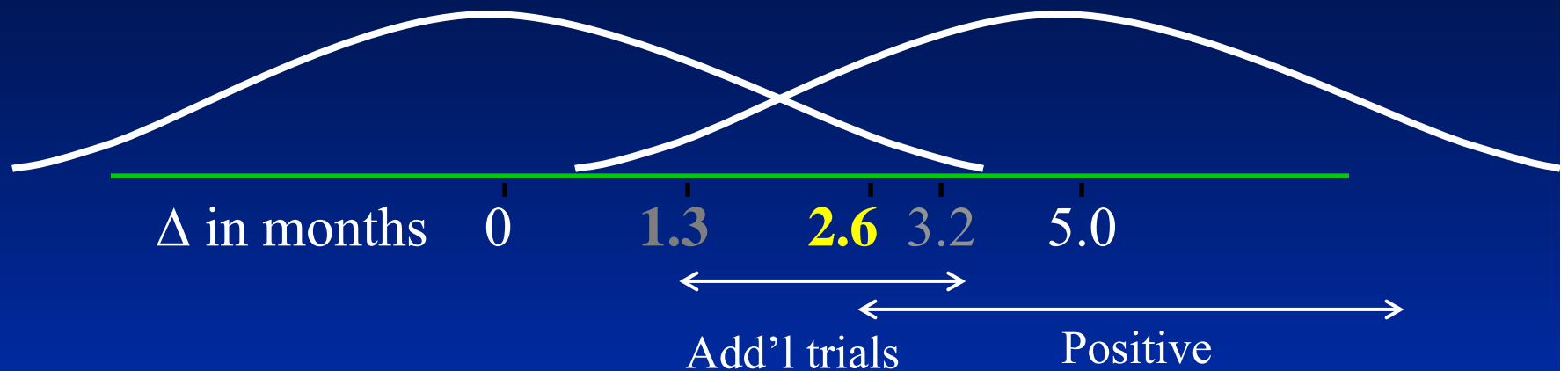
~ Phase 2b: (Randomized Screening Trial)

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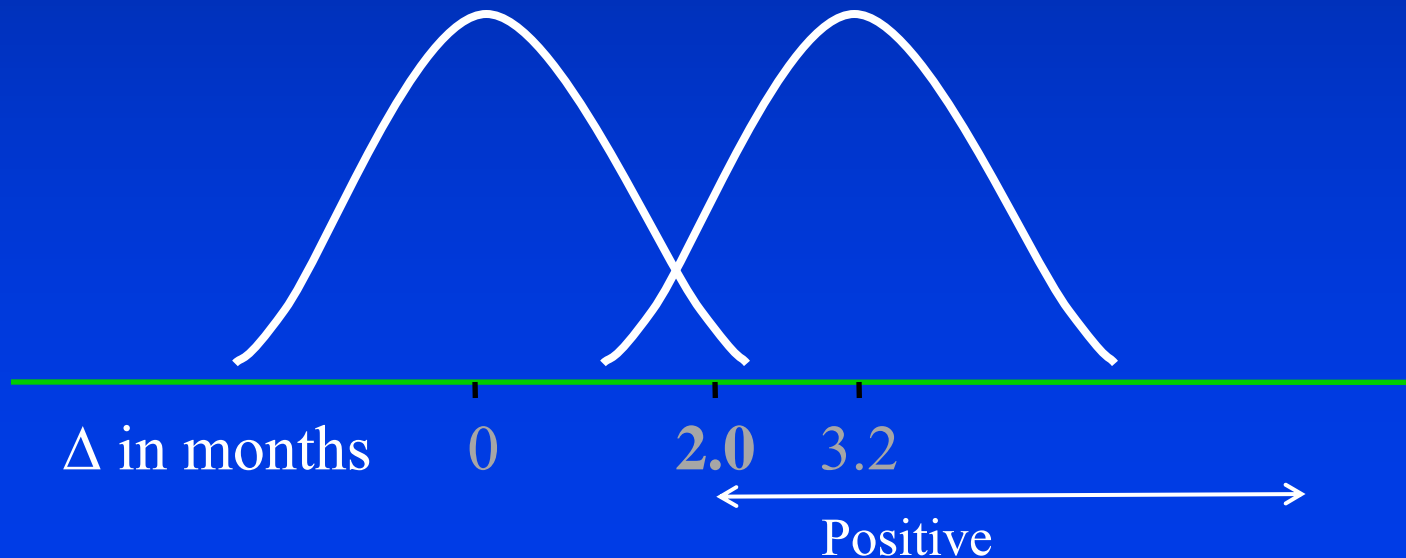
~ Phase 3: (Randomized Registration Trial)

...if true effect is *very large*

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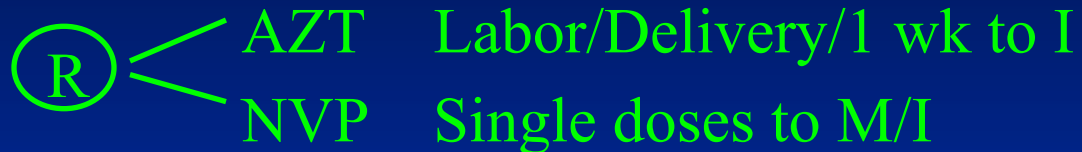


## Outcome Probabilities — Phase 3 Trial Design, (451 events)



# Illustration of a Phase 2b Trial with “Compelling” Results: HIVNET 012

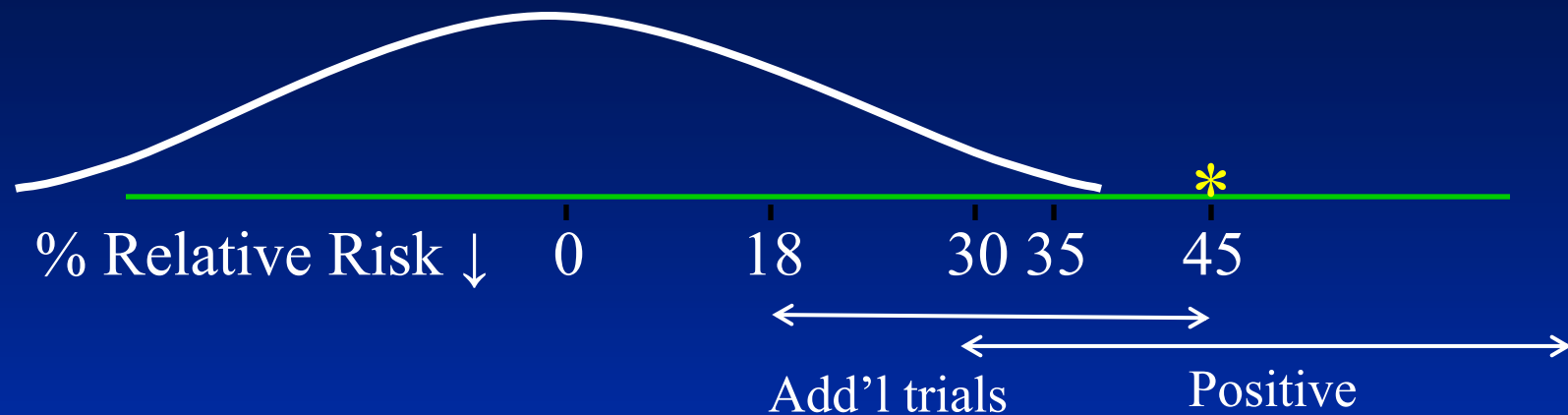
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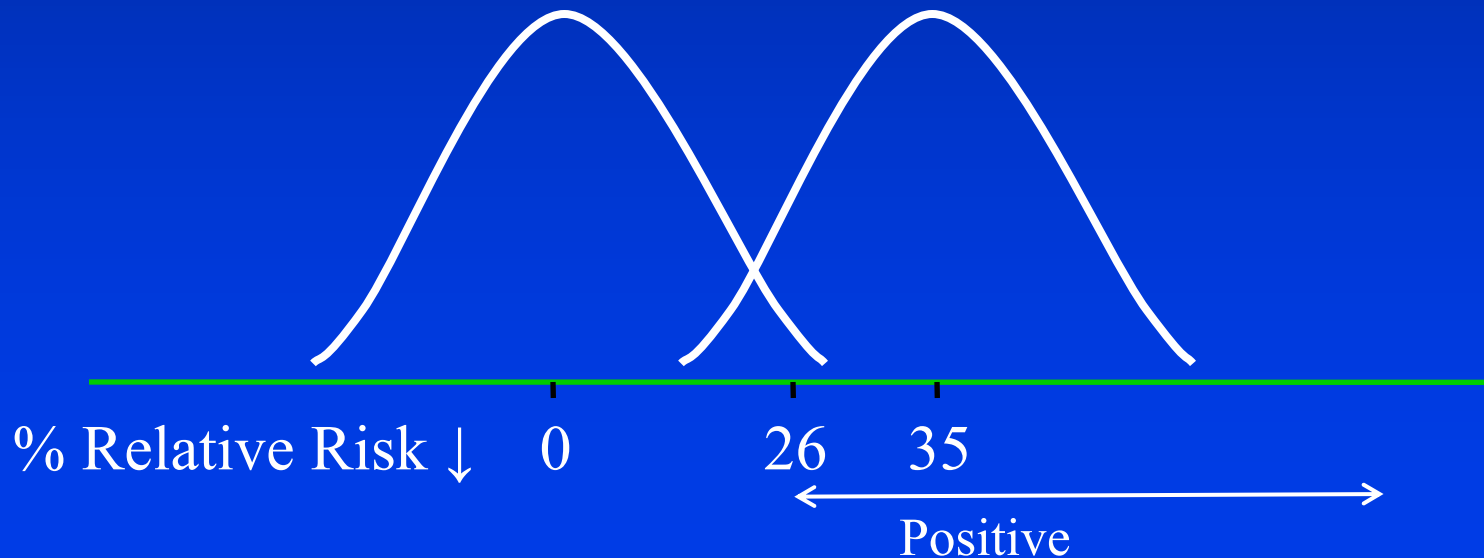
- Results *Lancet* 1999; 354: 795-802

	<u>N</u>	<u>MCT of HIV</u>	
		<u>6-8 wks</u>	<u>14-16 wks</u>
AZT	302	59 (21.3%)	65 (25.1%)
NVP	307	35 (11.9%)	37 (13.1%)
		1p = 0.0014	1p = 0.0003

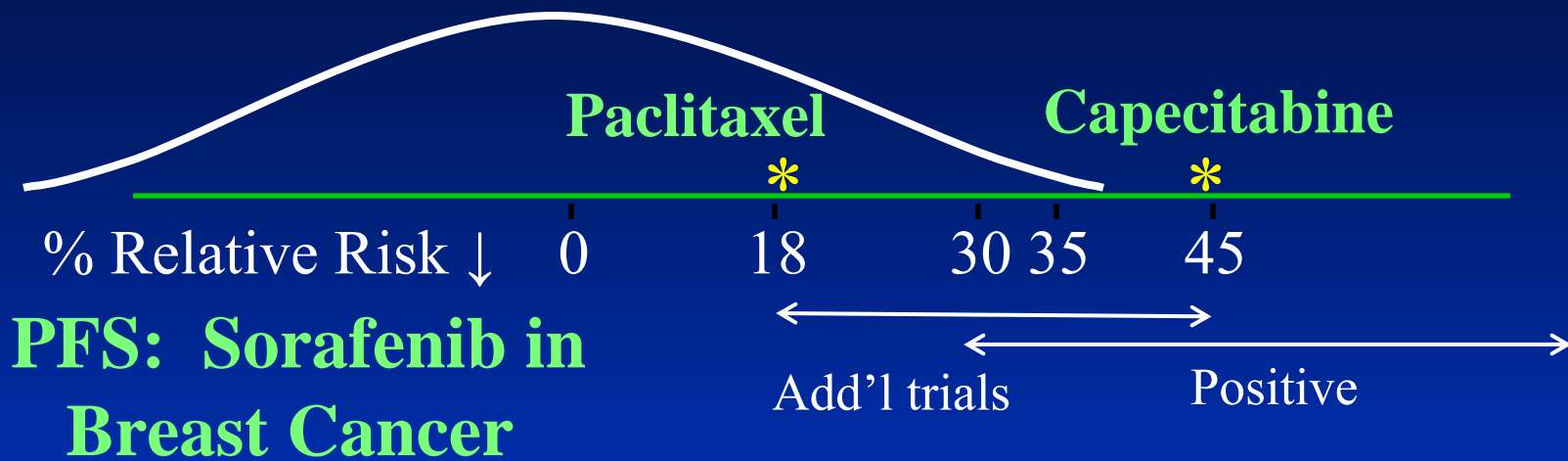
## Outcome Probabilities — Phase 2b Trial Design, (102 events)



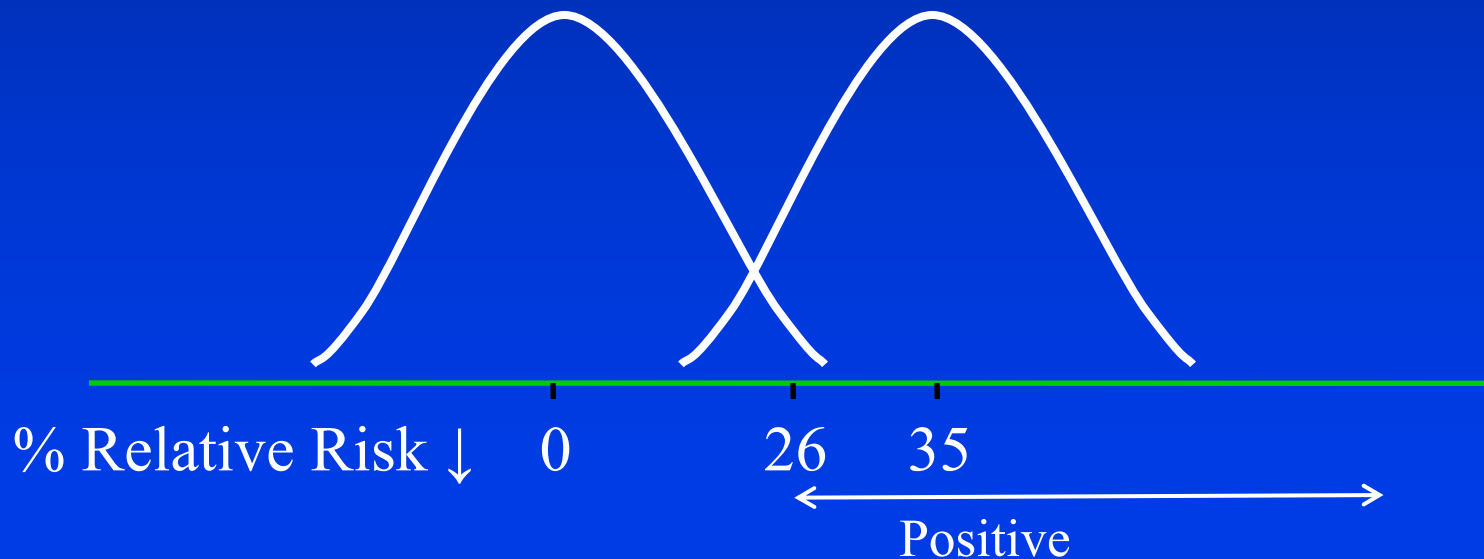
## Outcome Probabilities — Phase 3 Trial Design, (451 events)



## Outcome Probabilities — Phase 2b Trial Design, (120 events)



## Outcome Probabilities — Phase 3 Trial Design, (451 events)



# Development Strategies

---

~ Phase 2b (Randomized Screening Trial)

...if true effect size is *moderate*...

~ Phase 3 (Randomized Registration Trial)

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## Some properties:

- Randomization  $\Rightarrow$  Assessments not limited to:  
tumor response, for single agent regimens  
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Janet Woodcock  
FDA

November 10, 2011 • Washington, DC

# **2011 Conference on Clinical Cancer Research**

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Case studies/Industry Perspective

Gracie Lieberman

November 10, 2011

# Vemurafenib in V600E BRAF Melanoma

Early signal of activity (n=16)  
Phase I response rates: 69%  
Historical response rates: 10-20%

September 2009

Randomized phase 3: Vemurafenib vs. standard of care  
OS primary endpoint per HA; targeted HR: 0.75  
80% power and two-sided 2.5% level of significance  
680 patients (468 events planned)

August 2010; Phase 2 response rates: 52% (n=132)

October 2010; Phase 3 amendment per HA

Overall alpha level increased to 2-sided 5% from 2-sided 2.5%  
Alpha spending rule set with higher probability to cross at IA  
Less conservative target HR: 0.65  
PFS added as a co-primary endpoint  
Criteria for cross-over established

August 2011

**Full approval based on positive final PFS and interim OS analysis**

**PFS HR: 0.26; 95% CI: (0.20, 0.33); OS HR: 0.44; 95% CI: (0.33, 0.59)**

# Crizotinib in ALK Positive Advanced NSCLC

Early signal of activity (n=14)  
Phase I response rates: 50%  
Historical response rates: 10-20%  
Phase I protocol amendment

April 2009

## End-of-phase II meeting:

Observed data: 57% ORR in N=82 ALK-positive NSCLC patients  
Options for Accelerated Approval Discussed; Randomized phase III recommended by HA  
AA could be granted on interim analysis of a surrogate endpoint

April 2010

## HA interaction:

Can 2 single arm studies support AA with 1 confirmatory trial  
HA response: review issue

July 2010: General pre-NDA meeting

August 2011

**Accelerated approval based on 2 single arm trials; ORR: 50% - 60%; median duration of response 40 – 50 weeks**

*Confirmatory studies with PFS as primary endpoint are ongoing  
Cross-over is allowed*

# Vemurafenib and Crizotinib – The Fleming Proposal

Early signal of activity ( $n < 20$ )  
Phase I response rates: 50% - 60%  
Historical response rates: 10-20%  
Need to confirm activity before phase II or HA interactions

Randomized phase II: NME vs. SOC

**OS primary endpoint: Screening target HR=0.65**

Ex. 150 patients (98 events); study duration: 18 months  
or 200 patients (112 events); study duration: 16 months

**No cross-over; full approval is the goal**

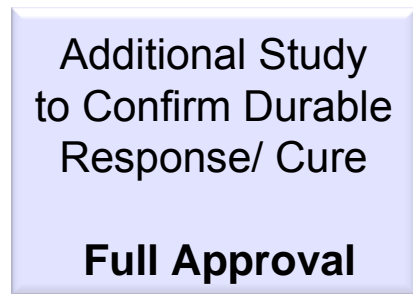
Pre-specified targeted  
HR  $< 0.5$  observed  
**Full approval**

Pre-specified targeted HR  
not observed but still  
clinically meaningful  
ORR confirmed and  $\gg$  control  
**Accelerated approval**

# Industry Considerations for Development Paths

## Large treatment effects observed early

Complete Response



Partial Response

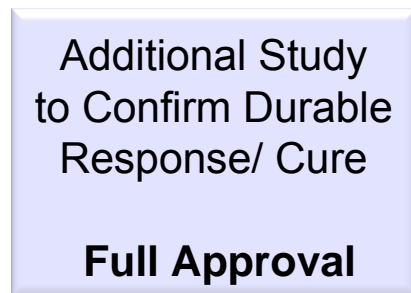


\* May or may not require randomized confirmatory study

# Industry Considerations for Development Paths

## Large treatment effects observed early

Complete Response



Partial Response



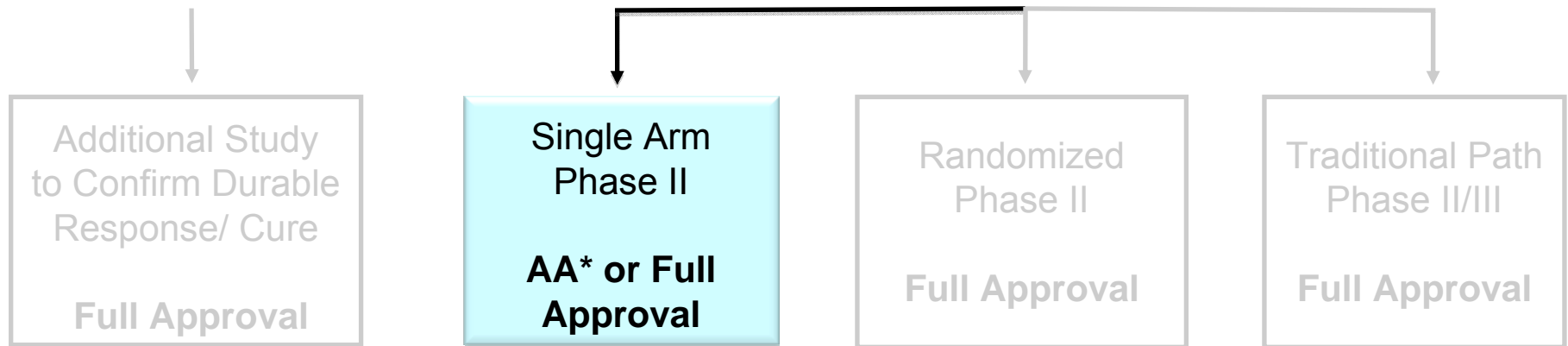
- Rate of complete response
- Confirmation of response
- Duration of response

# Industry Considerations for Development Paths

## Large treatment effects observed early

Complete Response

Partial Response



- Rate of overall response
- Confirmation of response
- Duration of response
- Historical outcomes
- Feasibility to conduct confirmatory study if AA
- Clarity when randomized confirmatory studies will be required
- Acceptance of single arm studies and ORR endpoints in global environment

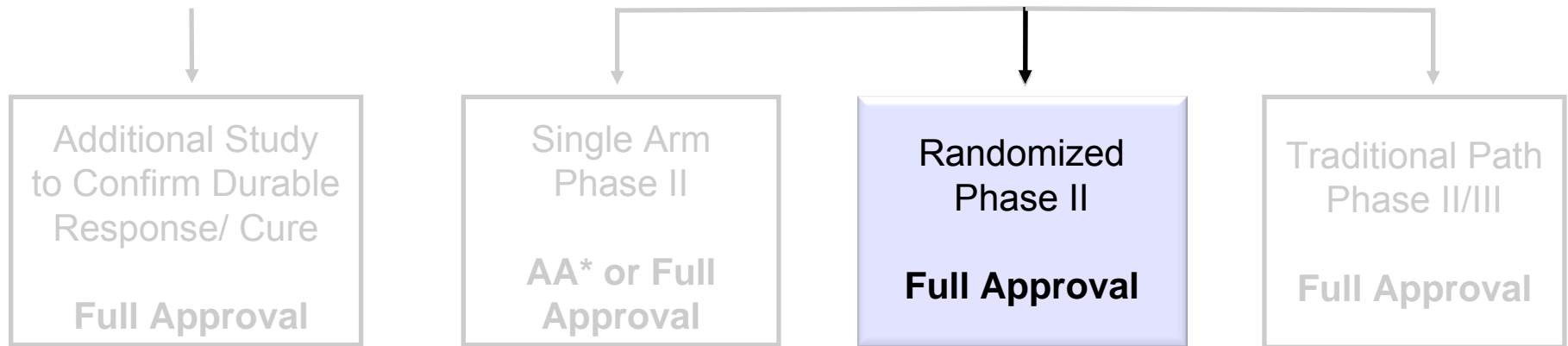


# Industry Considerations for Development Paths

## Large treatment effects observed early

Complete Response

Partial Response

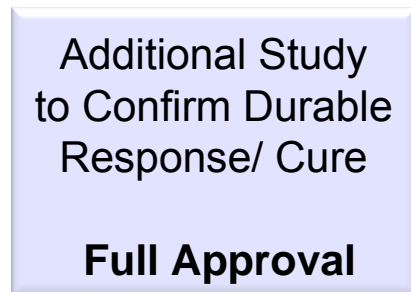


- Rate of overall response
- Confirmation of response
- Duration of response
- Historical outcomes
- Translatability of ORR into clinical benefit
- Clarity of what “success” means
- Operational complexity of conducting the study
- Acceptance of small randomized studies in global environment
- Primary endpoint PFS with cross-over or OS with no cross-over

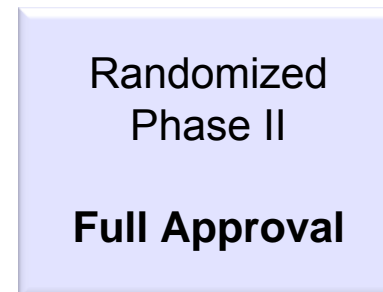
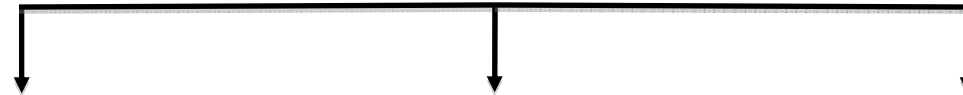
# Industry Considerations for Development Paths

## Large treatment effects observed early

Complete Response



Partial Response



Question: Could early treatment effects observed in vemurafenib and crizotinib qualify these drugs for accelerated approval based on single arm phase II followed by single arm confirmatory trial ?

Question: How many exposed would be required to determine and agree on path forward ?

\* May or may not require randomized confirmatory study

# Points to Consider for Guidance

**Providing “breakthrough” drugs to patients sooner will require clear guidance**

**Guidance needs to provide a new path to enable expedited conversations/agreements**

**Guidance needs to provide clarity on**

- **Definition of poor outcomes**
  - Relative to the observed/expected benefit of the new therapy
- **Processes for diagnostics**
  - Data required for approval of diagnostics
  - Drug approval without commercially available diagnostics
- **Process when commercial product not final**
  - Post-marketing bridging studies for new formulation
- **Agreements on risk sharing**
  - Feasibility/conduct of PMC

**Back Up**

# Iniparib in Triple Negative BC

Early signal of activity (n=14)?  
Limited single agent activity in phase Ia

Randomized, open label phase II (n = 123)

Iniparib + SOC vs. SOC

Cross-over allowed

ORR: 52% vs. 32%; PFS: 5.9 vs. 3.6 months; OS: 12.3 vs. 7.7 months

Randomized, open label phase III (n = 519)

Iniparib + SOC vs. SOC

Cross-over allowed

PFS: 5.1 vs. 4.1 months; OS: 11.8 vs. 11.1 months

## ***What went wrong:***

*Imbalance in prognostic baseline characteristics;*

*Scientific plausibility*

*Study conduct: was phase II biased?*

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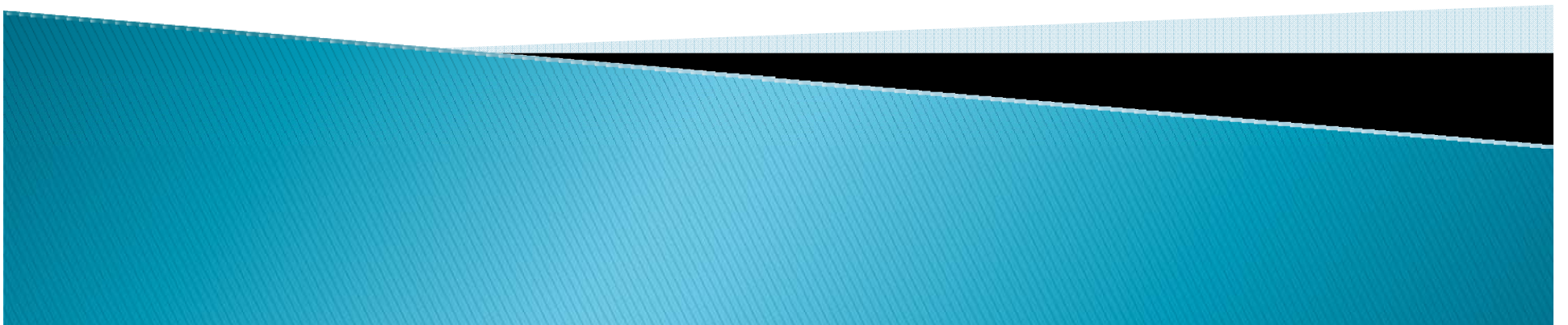
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Wyndham Wilson  
NCI

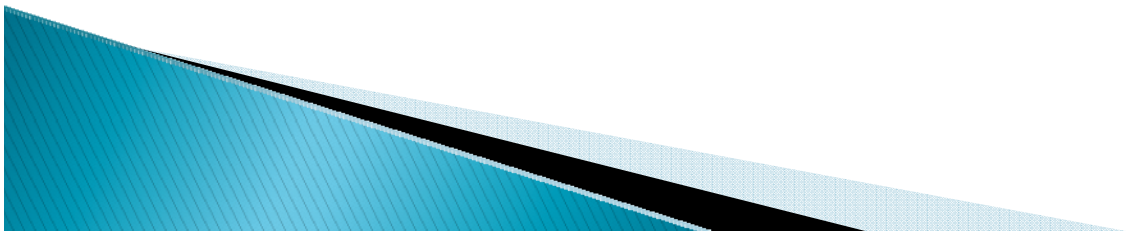
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# Development Paths for New Drugs



# Early Considerations of Full Approval

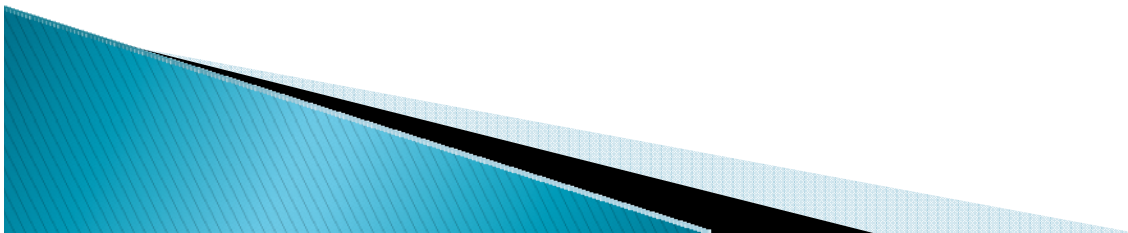
- ▶ **Not a solution to the problem**
  - Limits efficacy and safety data
  - Discourages company sponsored follow up trials
  - No advantage to patients





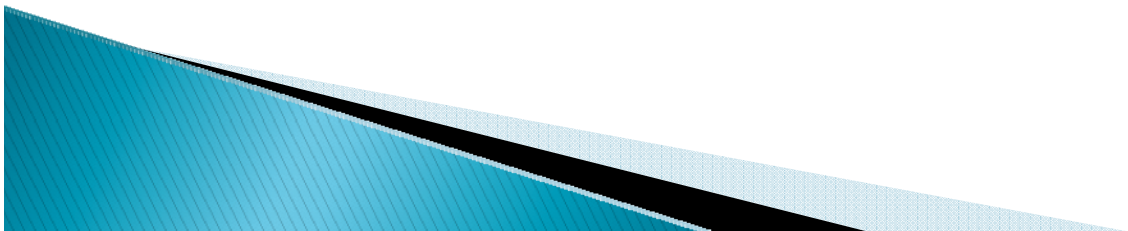
# Considerations for Accelerated Approval

- ▶ **Modified criteria to increase drug approval**
  - Modify requirement that drugs show activity after failure of approved agents.
    - Limits ability to conduct trials
    - Assumes a drug is only beneficial if active in a new space
    - Limits approval of new drugs, which may show important uses in post-marketing trials
    - Criteria should focus on approval of active agents with balanced risk-benefit, particularly if a new drug class



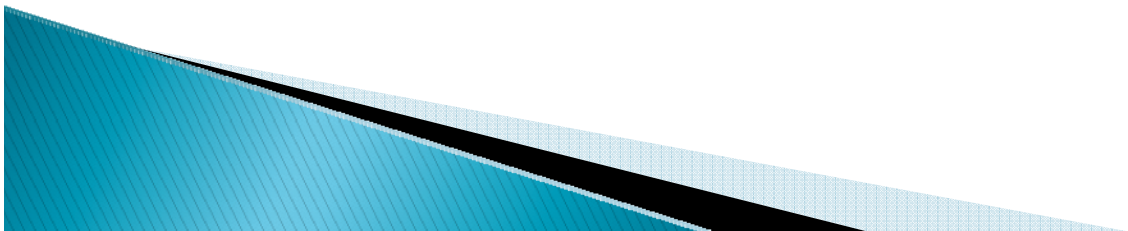
# Considerations for Accelerated Approval

- ▶ **Modified criteria to increase drug approval**
  - Provide pathway for approval of combination agents
    - One or both may not have FDA approval overall or for indication
    - Scientific evidence that agents target multiple points in a driver pathway–in vitro synergy
    - Single agent and combination safety
    - High durable response rates for combination



# Considerations for Accelerated Approval

- ▶ **Strict adherence to confirmation of efficacy and safety in post-approval trials**
  - Required milestones with real penalties
  - Active surveillance of trial progress
  - Required withdrawal of indication if clinical benefit/safety is not confirmed or trials are not timely
  - Ability to challenge withdrawal based on “legal” criteria should be addressed within FDA policy. Non-clinically based challenges places the accelerated approval process and patient safety at high risk





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Edward Korn  
NCI

November 10, 2011 • Washington, DC



# Panel 4: Development Paths for New Drugs with Large Effects Seen Early

Dr. R. Sridhara

Director, Division of Biometrics V

CDER, FDA



# Large Effect Seen Early

- Large Effect Definition?
  - Knowledge of disease course
  - Disease dependent
  - Available therapy
  - Availability of historical data
  - You know when you see it?
- Seen Early
  - Chance?, Over estimate?, Safety?



# Proposed Designs

- Single arm studies
  - Monotherapy
  - Rare diseases
  - Magnitude and duration of response
  - Limited safety data, Benefit >>> Risk
  - Historical data unavailable in biomarker based subgroup
  - Biomarker a prognostic marker - better risk population in the study
  - Small sample size – lack of confidence in the estimates
    - Vemurafenib example: Ph 1 extended phase 26/32 (81%) responders, 95% CI: 64%, 93%). Ph 2 study 69/132 (52%) responders, 95% CI: 43%, 61%).
  - Valid biomarker – Approved test?



# Proposed Designs

- Phase II RCT
  - Monotherapy or combination
  - Limited safety data, Benefit >>> Risk
  - Huge differences can be observed with small sample size – lack of confidence in the estimates?  
Replication?
    - Iniparib example
  - Valid biomarker – Approved test?





# Summary

- Exploratory Studies: less restrictive, generate hypothesis
- Confirmatory Studies: Hypothesis testing controlling false positive conclusions
- Single arm studies with substantial response and duration of response in rare diseases
- Proposed Ph 2
  - A confirmatory study for large treatment effect,
  - Futility study if early effect was by chance, and
  - For moderate effect – could consider planned adaptation to increase sample size.
  - Simulation of different decision possibilities is critical before start of study



# Summary

- RCT allows to evaluate products despite gaps in historical knowledge, controls confounding due to known and unknown factors, provides both comparative efficacy and safety for benefit:risk evaluation
- Large effect is a moving target
- Consult FDA if large effect is observed in early development for future design of studies