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# Conference on Clinical Cancer Research

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# Conference on Clinical Cancer Research

## Design of a Disease-Specific Master Protocol



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# Conference on Clinical Cancer Research

## Design of a Disease-Specific Master Protocol

Roy Herbst, MD/PhD  
Yale Cancer Center

# Modernizing Clinical Trial Process

- Some of the current challenges of drug development
  - Difficulties in recruiting cancer patients to clinical trials
  - Extensive bureaucratic processes required to initiate any clinical trial
  - Lengthy regulatory review
- Modernizing trial process with innovative approaches and new clinical trial designs is of high importance.
- Use novel design strategies combined with biomarker testing
  - to increase trial efficiency
  - improve future phase III clinical trial designs

# Phase II Adaptive Screening Trials

- **BATTLE (Biomarker-integrated Approaches of Targeted Therapy for Lung Cancer Elimination)**
  - Heavily pretreated refractory non-small cell lung cancer (NSCLC)
  - Determined marker status of 11 biomarkers
  - Randomized patients to four different agents
  - Results were used to design two new BATTLE trials
- **I-SPY 2 TRIAL (Investigation of Serial Studies to Predict Your Therapeutic Response with Imaging And moLecular Analysis 2)**
  - Investigates neoadjuvant treatment of new drugs added to traditional chemotherapy in women with locally advanced breast cancer
  - Designed to test multiple novel drugs and biomarkers over five-year timeframe
  - May test up to five drugs simultaneously
  - Add new drugs as existing drugs complete testing

# Moving to a Multi-arm Registration Trial

- We propose another alternative to traditional trial design
- Multi-arm, multi-marker/drug “master protocol” Phase III trial
  - Randomized, Controlled
  - No adaptive randomization
  - Multiple new therapies are tested simultaneously in a specific disease setting
  - Designed to allow FDA approval of new therapeutics
  - Assigns patients to experimental treatment vs standard-of-care control arm on the basis of specific biomarkers

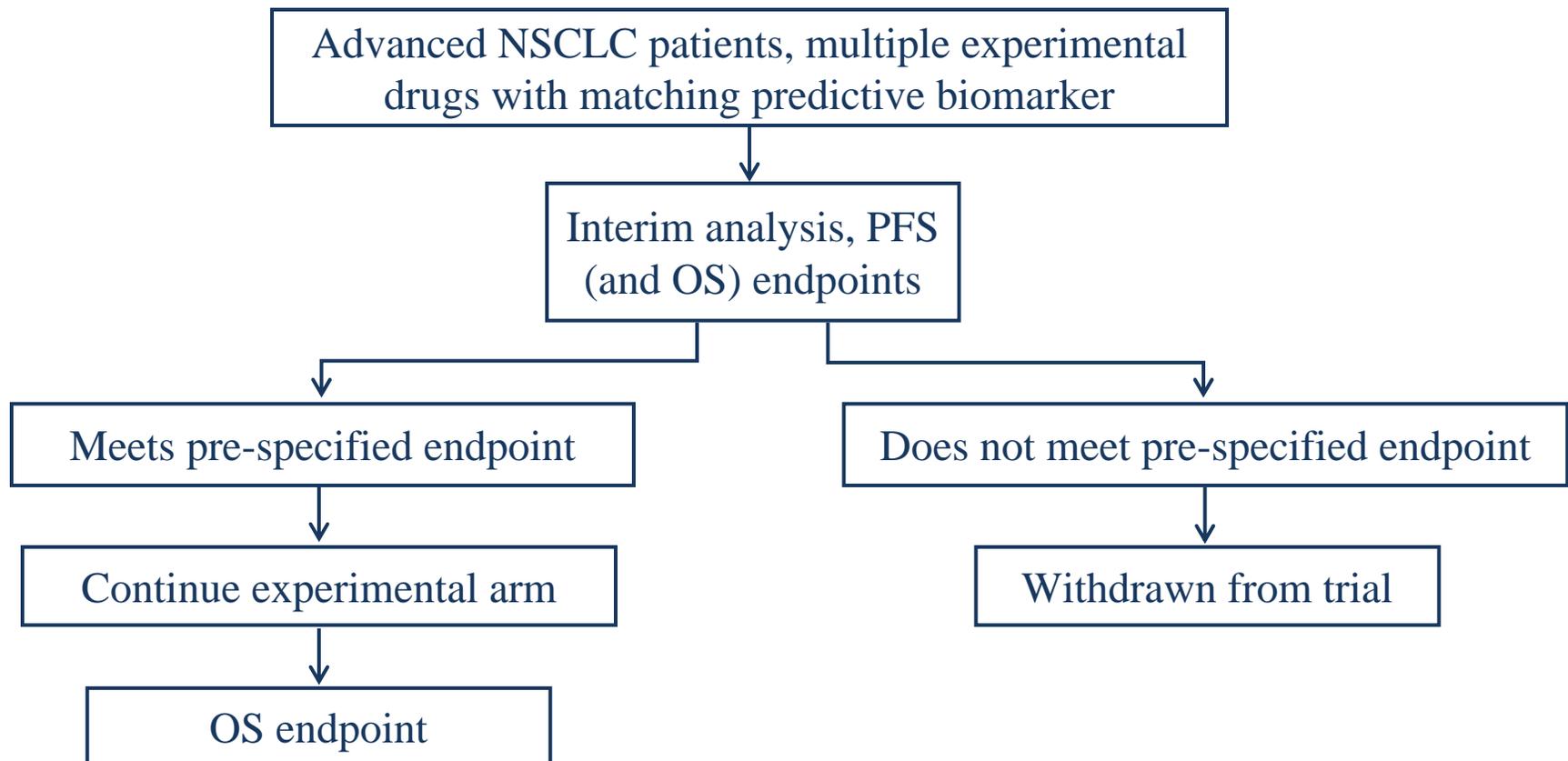


# Advantages of Master Protocol Multi-drug Registration Trial Design

- Grouping multiple studies reduces the overall screen failure rate
- Single master protocol will result in process and operational efficiency gains
  - Provides consistency
  - Trial infrastructure will be in place
  - Bring safe and effective drugs to patients faster



# Master Protocol Multi-Drug Trial Design



# Lung Cancer Example

## Squamous Cell Carcinoma Mutation Incidence

Gene	Event Type	Frequency
FGFR1	Amplification	20-25%
FGFR2	Mutation	5%
PIK3CA	Mutation	9%
PTEN	Mutation-Deletion	18%
CCND1	Amplification	8%
CDKN2A	Deletion/Mutation	45%
PDGFRA	Amplification-Mutation	9%
EGFR	Amplification	10%
MCL1	Amplification	10%
BRAF	Mutation	3%
DDR2	Mutation	4%
cMET	High copy-amplification	11%
ERBB2	Amplification	2%



## Speakers

- **Roy Herbst**, MD/PhD, Yale Cancer Center
- **David Wholley**, M.Phil., FNIH
- **Eric Rubin**, MD, Merck
- **Lisa LaVange**, PhD, FDA
- **Jeff Abrams**, MD, NCI
- **Karen Arscott**, DO, Lung Cancer Alliance
- **Shakuntala Malik**, MD, OHOP, FDA

## Acknowledgements

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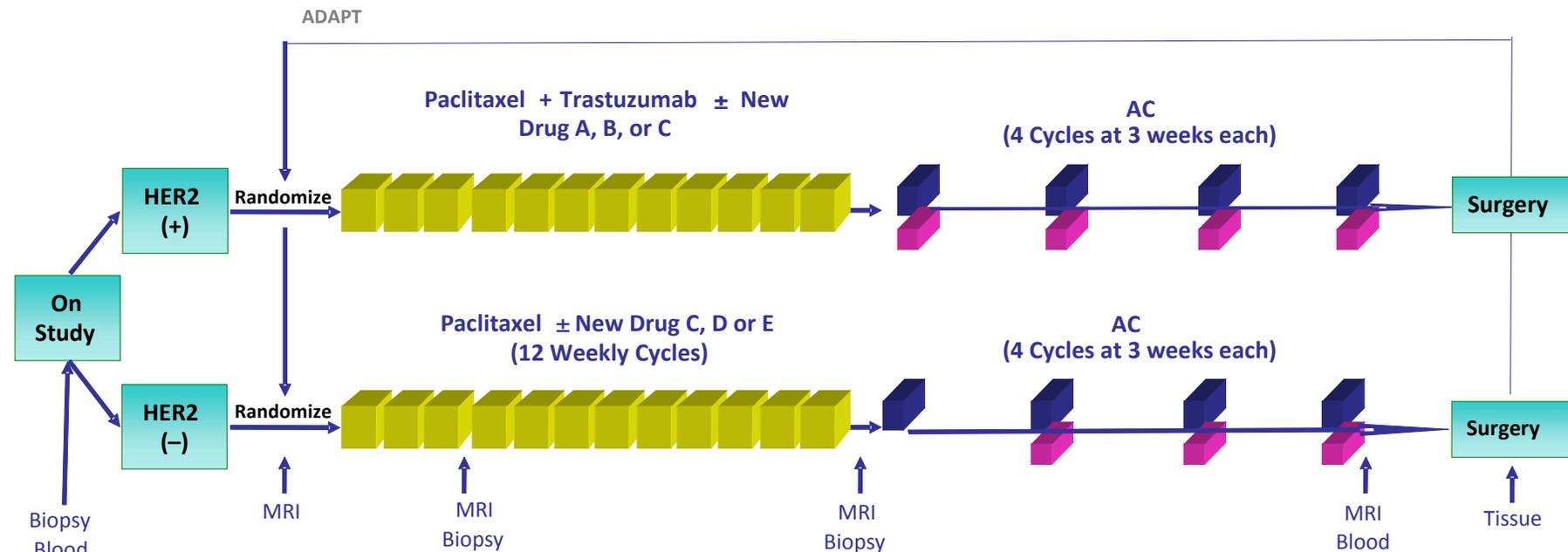


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**Design of a Disease-Specific Master Protocol**  
Organizational infrastructure for multi-drug trials

David Wholley  
FNIH

# The I-SPY 2 trial tests multiple new breast cancer agents using biomarkers and an adaptive trial design



Biopsy  
Blood  
Biopsy  
used for  
Biomarkers

## Stratifying Biomarkers:

Class I/II devices: HER2 (IHC, FISH)  
MammaPrint  
ER, PR

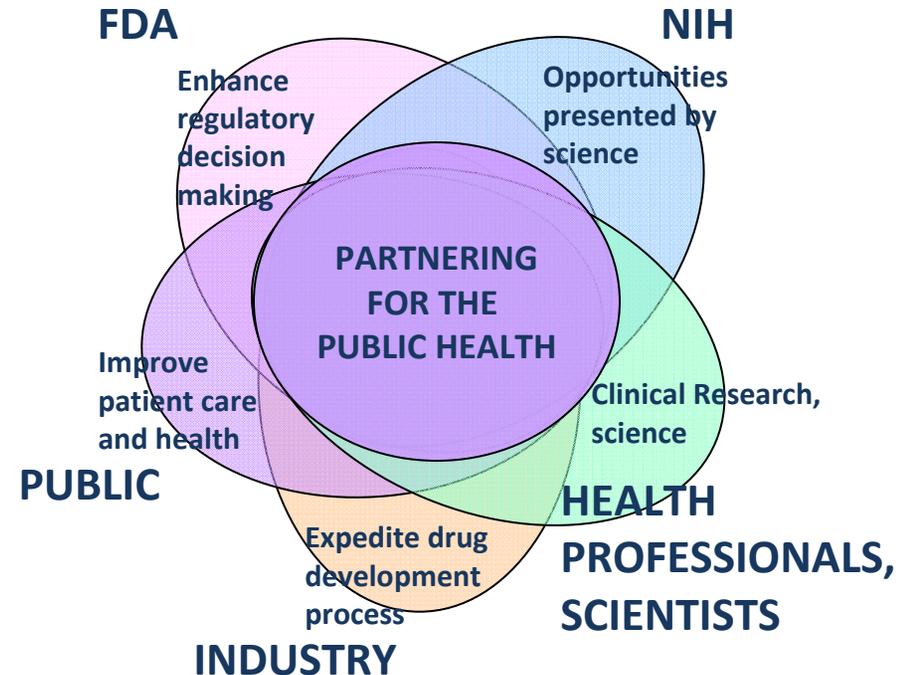
IDE:

MammaPrint44K  
Her2 (RPMA, 44K-microarray)

# I-SPY 2 is being conducted as a large-scale public-private partnership managed through the FNIH Biomarkers Consortium

Principal Investigators: Laura Esserman (UCSF) and Don Berry (MD Anderson)

- NCI
- FDA
- ~20 academic cancer centers
- Multiple pharmaceutical companies
  - Contributing agents
  - Funding
- Platform companies
- Laboratories
- Non-profit organizations
- Advocates
- Managed by FNIH and Quantum Leap Healthcare Collaborative



## An Independent Agent Selection Committee chooses novel agents based on stringent criteria

- The IASC consists of 5-6 cancer drug development experts without current industry affiliations
  - Phase I testing on candidate agents must be completed
  - Agents must be compatible with standard paclitaxel therapy (no unacceptable additive toxicity)
    - For HER2/neu-directed agents, compatible with paclitaxel plus trastuzumab therapy
  - Known efficacy or rationale for efficacy in breast cancer
  - Targets key pathways/molecules in breast cancer, but only one novel agent per target pathway will be accepted in the trial
  - Fits strategic model for optimizing combinations of single/multiple molecular targeting drugs with or without standard chemotherapy
  - Willingness of company to contribute agent and sufficient availability

# I-SPY 2 Organizational Principles, Efficiencies

- FNIH holds a Master IND (developed with FDA and NCI) that incorporates testing of multiple agents
- FNIH negotiates and holds all contracts with sites, pharma companies, biomarker companies, and other entities
- FNIH and QuantumLeap provide centralized co-administration and project management for the trial
- A centralized IT infrastructure (based on caBIG) ensures broad, timely dissemination of data and results
- Formal Data Access and Publication Guidelines ensure transparency and balance company and public health benefit
  - Data and samples are made broadly available to the research community for follow-on research
- FNIH also serves as a trusted 3<sup>rd</sup> party to manage data and intellectual property coming out of the trial, to maximize the public health benefit

# FNIH acts as a trusted third party to ensure fair and appropriate licensing of new inventions arising from I-SPY 2

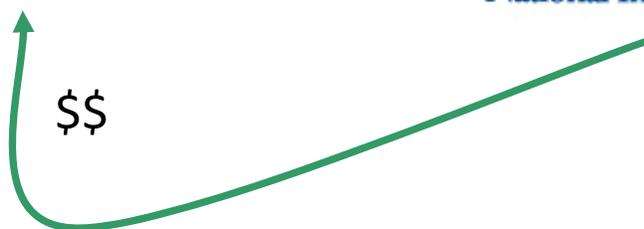
1 Inventing Organizations grant exclusive licenses to new IP to FNIH



2 FNIH prosecutes and manages resulting patents

FOUNDATION  
FOR THE  
National Institutes of Health

3 FNIH markets and licenses IP to interested parties



4 FNIH returns a fair share of royalties (less expenses) to Inventing Organizations



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## Design of a Disease-Specific Master Protocol

Eric H. Rubin, MD  
Merck

# Proposed Master Protocol Multi-drug Registration Trial Design

- **Disease Model:** Relapsed, refractory non-small cell lung cancer (NSCLC)
- **Sponsor:** A neutral third party
  - e.g., CRO, academic coordinating center
  - Able to establish appropriate firewall procedures
- **Objective:** To compare overall survival (OS) of biomarker-selected patients treated with standard of care (SoC) vs. experimental targeted therapy
- **Standard of Care:** Will be determined prior to trial initiation by the steering committee

# Trial Design – Drugs and Biomarkers

- The steering committee will evaluate each application to determine whether a drug/biomarker pair can enter the trial
- **Drugs**
  - Ready to enter a phase III confirmatory trial
  - **Each drug must have clinical data demonstrating activity in a responsive patient group**
  - Patient group can be identified by assessment of biomarker in patient tumor biopsies

## Trial Design – Biomarkers and Screening

- **Each compound's biomarker is based on analytically validated test/platform** suitable for a pivotal trial
- This trial could use common screening platform that assays multiple biomarkers
  - If predictive biomarker is in a CLIA-approved platform, it could be considered adequate for patient selection and randomization
    - Would require Investigational Device Exemption (IDE) prior to trial start
    - If new drug shows clinical benefit in selected patient population the biomarker could be analyzed and given FDA clearance

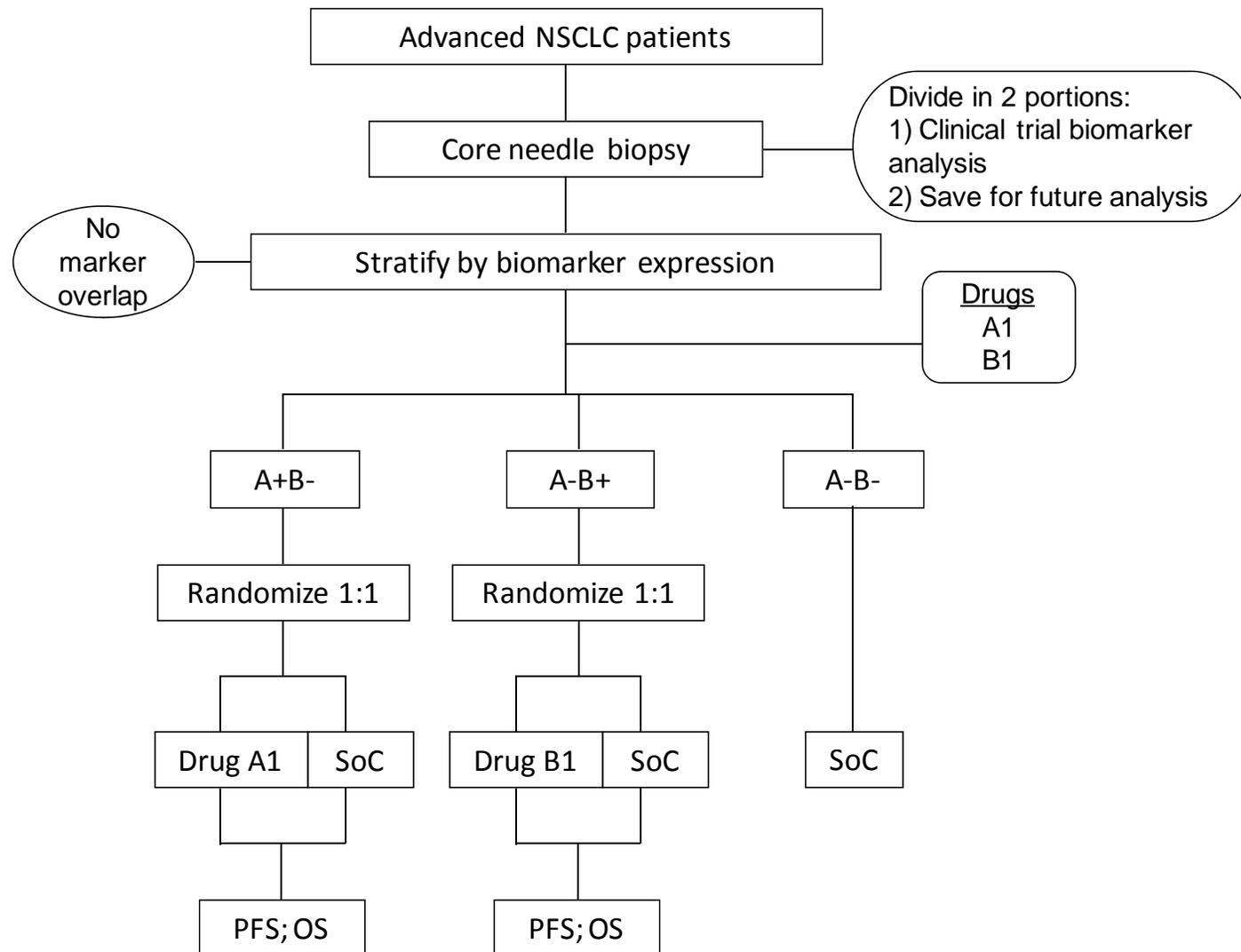
# Use of a Multi-marker Platform

- Advantages
  - Conserves tumor samples
  - Testing protocols easier to standardize
  - Sponsors would not be responsible for designing their own diagnostic
- Considerations
  - Have not yet been used in registration trial
  - The process **would require close communication with the FDA** to determine its applicability

# Potential Study Design

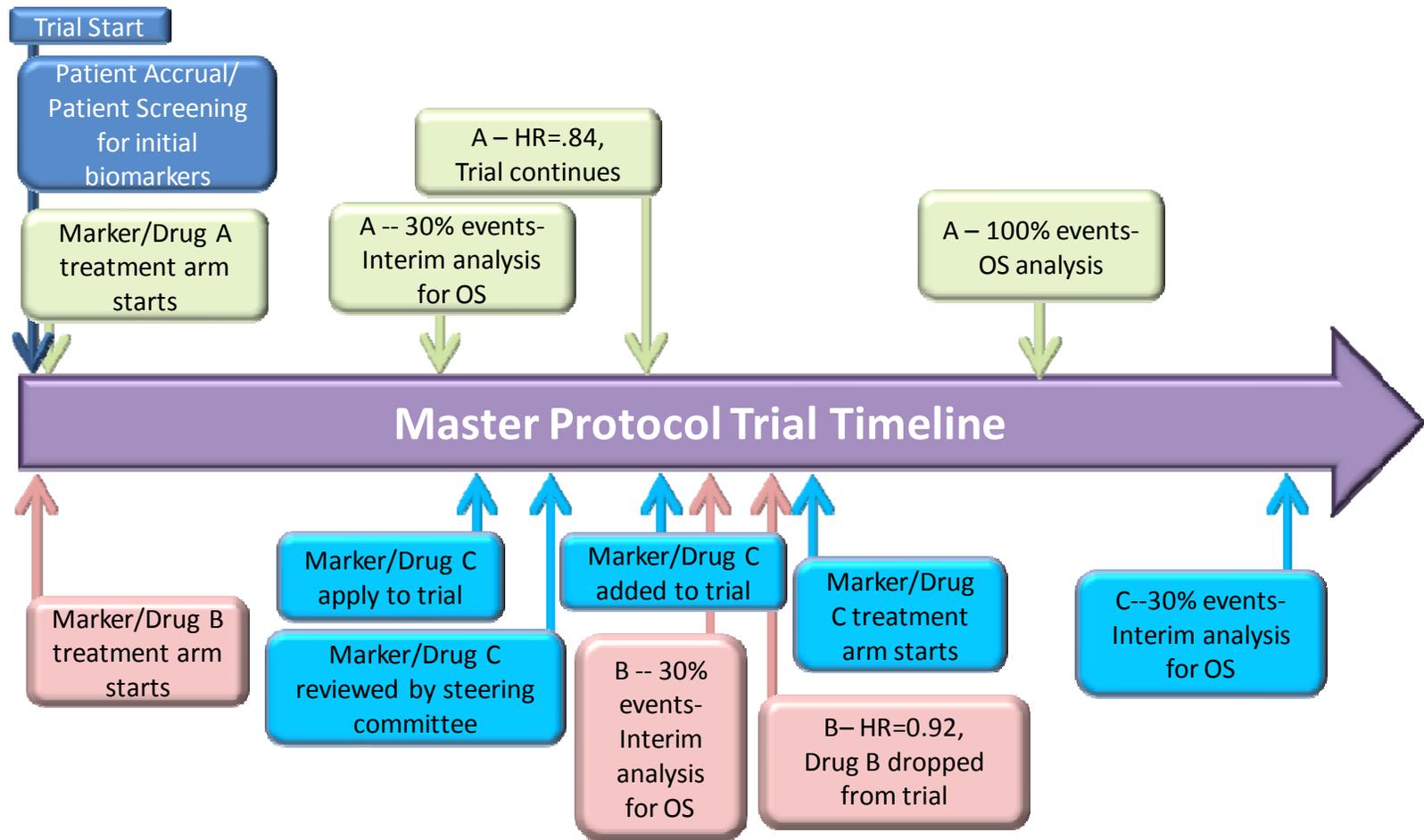
- At entry, patients will receive a fresh core needle biopsy, with the tissue analyzed with appropriate assay (s)
- Experimental treatment A targets Marker A-positive tumors; Drug B targets Marker B-positive tumors
  - Patients whose tumors are positive for marker A will be randomized to SoC vs drug A
  - Patients whose tumors are positive for marker B will be randomized to SoC vs drug B
- Primary endpoint: Overall Survival (OS)
  - Possible Interim Analysis when 30% of the OS events have occurred

# Scenario: Two markers with no marker overlap; one drug per marker.



# Master Protocol over Time

- Additional drug/biomarker combinations dropped and added to study



## Case Study Example

- *PIK3CA* copy number increases or mutations identified in ~ one-third of squamous cell carcinomas
  - Target with PI3K inhibitor, e.g. BYL719
- *DDR2* tyrosine kinase mutations identified in 4% of squamous cell carcinomas
  - Shown to confer sensitivity to dasatinib



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Design of a Disease-Specific Master Protocol

**Lisa LaVange, PhD**

FDA

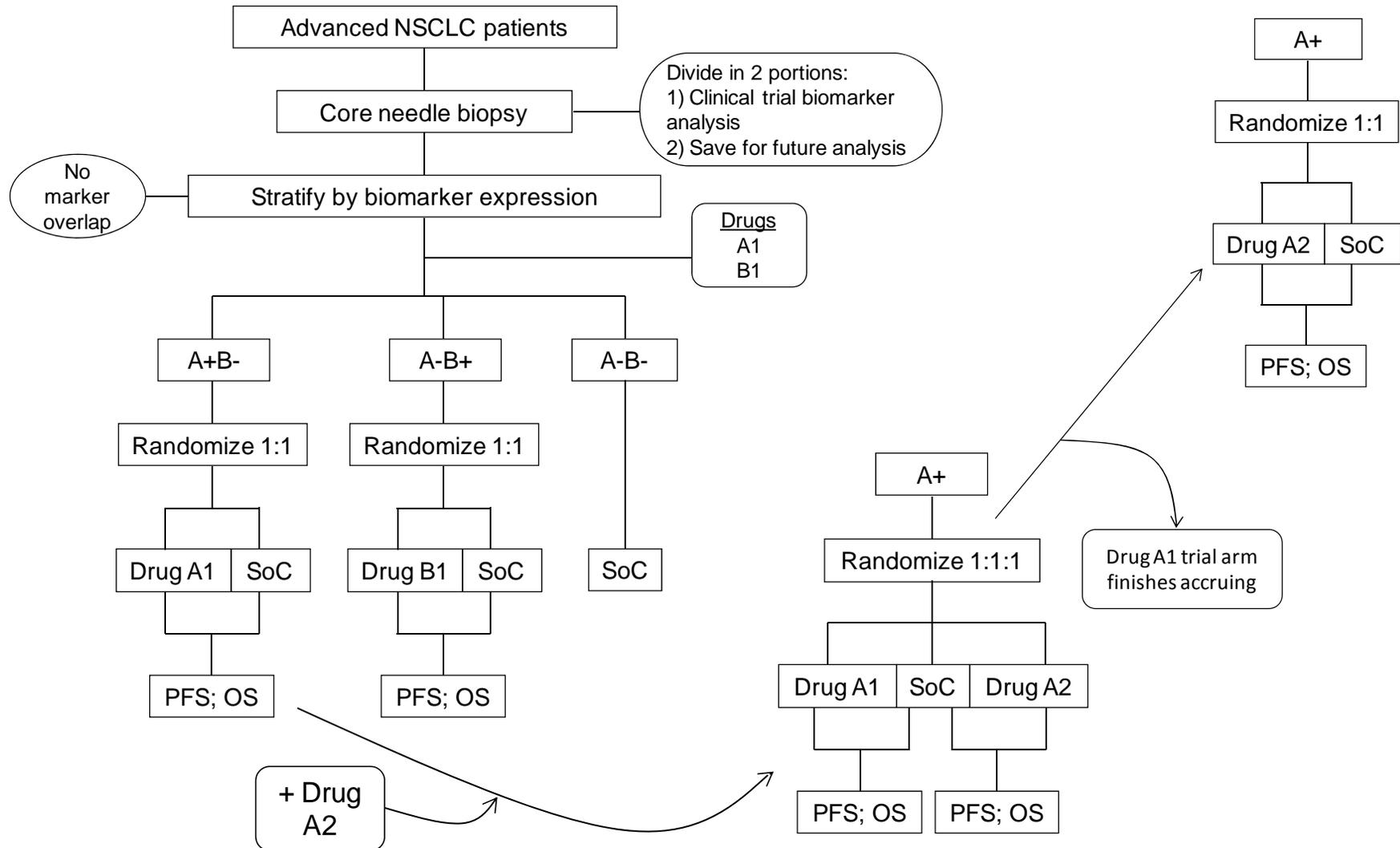
# Potential Leveraging of Control Subjects

- Leveraging control patients across multiple trials is possible if a neutral 3<sup>rd</sup> party is running the trial
  - A CRO/Coordinating Center must establish appropriate firewall procedures
- Active drugs would not be compared to each other
  - Approval would be based on meeting pre-specified efficacy and safety criteria compared to SoC
- Which control patients are unique or shared may not need to be disclosed for analysis purposes
- Benefits to sharing control patients
  - Reduced recruitment time
  - Reduced trial costs

# Example of Leveraging of Control Subjects

- Marker A/Drug A1 recruited/randomized 1:1 to Drug A1:SoC
- Marker A/Drug A2's protocol approved to begin recruitment
  - Randomization of Marker A patients changes to 1:1:1 Drug A1:Drug A2: SoC
  - Use of common protocol with standard procedures, visit schedules, and CRFs allows control patients to contribute data to both trials
- A1 trial completes enrollment while A2 trial is still ongoing
  - Randomization allocation reverts to 1:1 for Drug A2: SoC
  - Shared controls that have completed follow-up in Drug A1 trial
    - Data is unmasked for analysis of the Drug A1 protocol
    - Data remains masked to Drug A2 trial personnel
      - If necessary, data collection on A2 patients continues under the Drug A2 protocol

# Scenario: Two markers with no marker overlap; two drugs target marker A.



# Potential Leveraging of Control Subjects

- Leveraging control patients across multiple trials is possible if a neutral 3<sup>rd</sup> party is running the trial
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## Design of a Disease-Specific Master Protocol

Jeff Abrams, MD  
NCI

# ALCHEMIST

Adjuvant Lung Cancer Enrichment Marker  
Identification and Sequencing Trial

# Drug Biomarkers in Lung Adenocarcinoma

TKI-sensitizing EGFR mutations:

10% in Western population

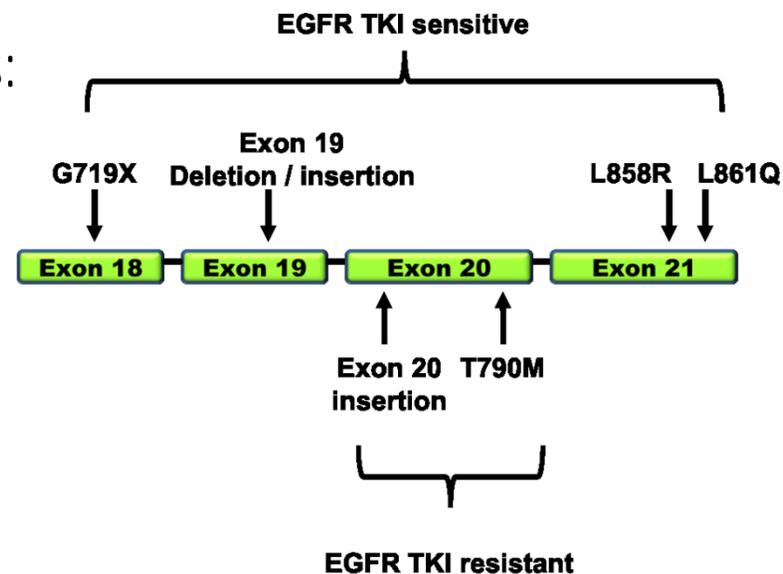
Up to 50% in Asian population

Enriched in:

- females
- non-smokers
- younger patients

Multiple tests in clinical use

No FDA-approved clinical assay

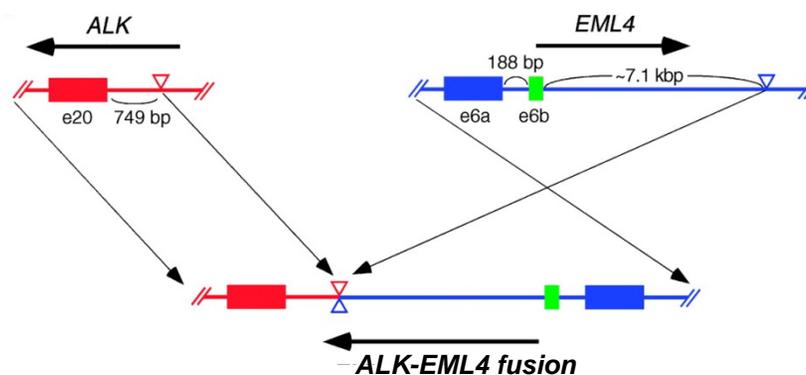


ALK Rearrangement

5-7% in Western population

FDA approved companion diagnostic:

Vysis Break Apart FISH probe

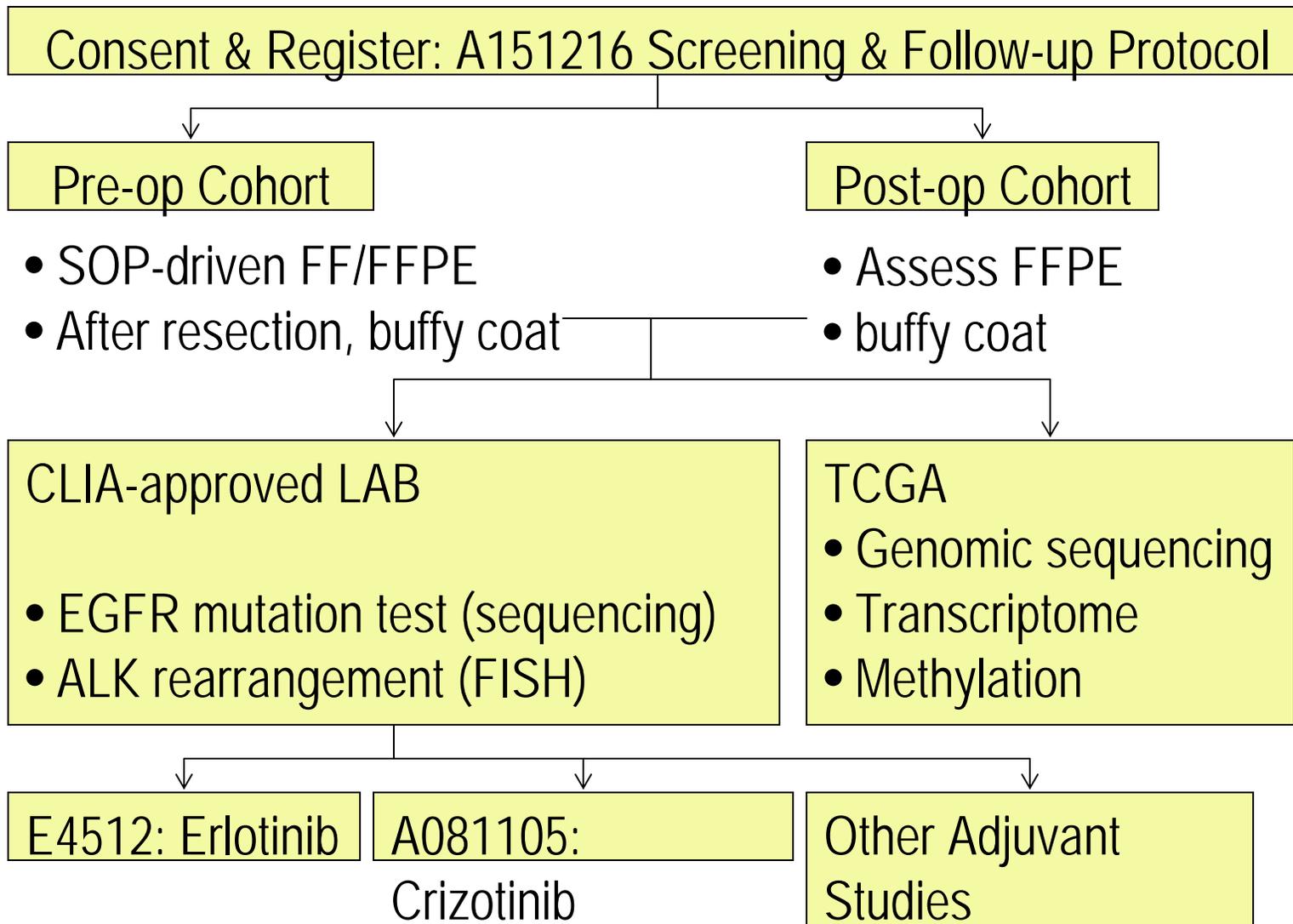


## National Trial for Molecular Characterization of Early Stage Non-squamous NSCLC

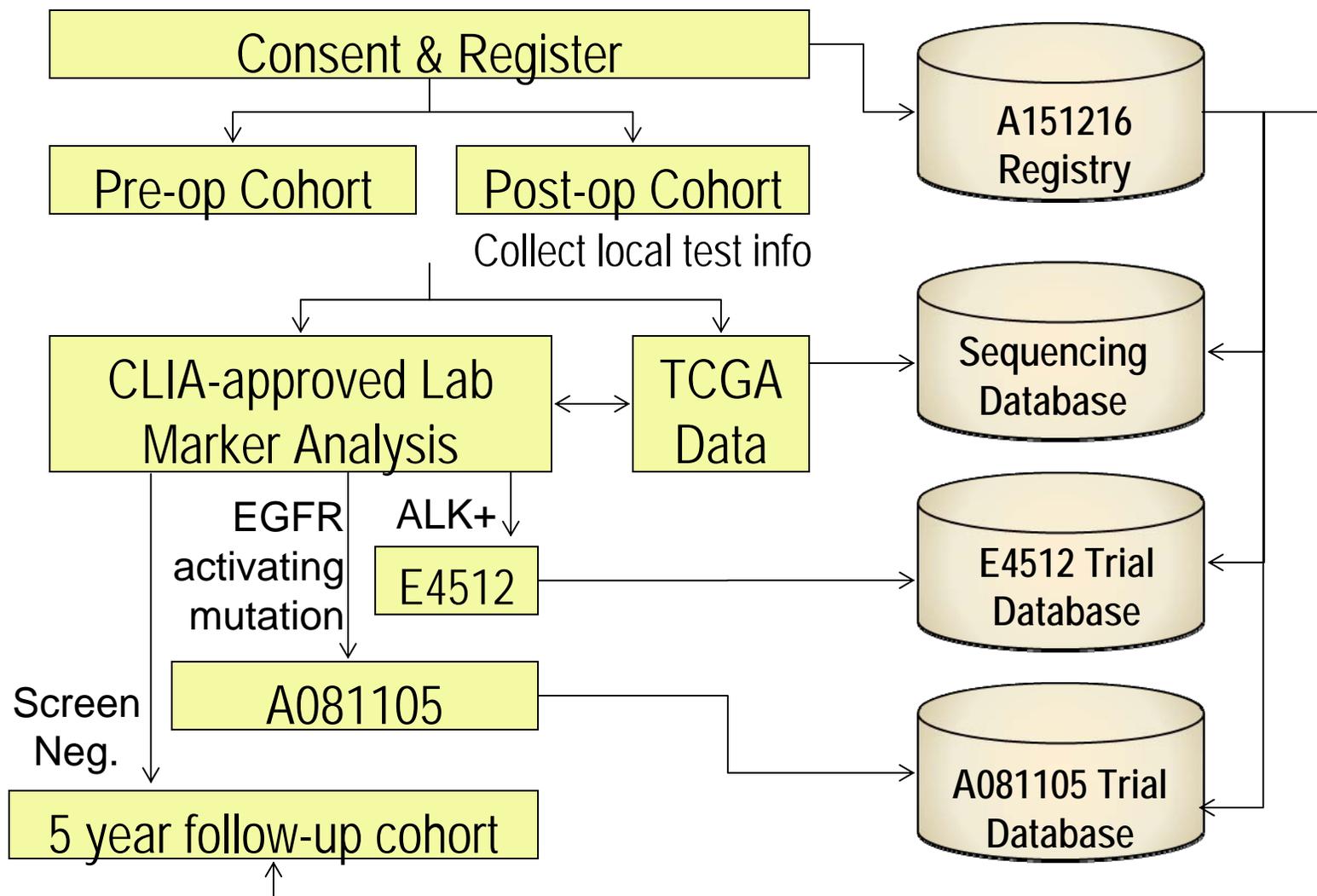
### Eligibility:

- Diagnosis of NSCLC (non-squamous)
- Clinical stage I, II, or III deemed resectable
- Pathologic stage I, II, or III that:
  - has been successfully resected
  - adequate tissue available
  - +/- local test for EGFR mutation or ALK rearrangement
- Patient Consent to allow
  - donation of de-identified cancer information for research
  - performance of central testing for adjuvant study referral
  - 5 year follow-up: treatment and outcome
  - contact regarding follow-up biopsy if cancer recurs
  - (optionally) re-contact if no recurrence at end of study

# Tissue Flow



## Data Flow





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## Design of a Disease-Specific Master Protocol

Karen Arscott, DO  
Lung Cancer Alliance



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## Design of a Disease-Specific Master Protocol

Shakuntala Malik, MD  
FDA/CDER/OHOP



# Master Protocol Concept

Joint NCI Thoracic Malignancies Steering Committee & FDA  
Workshop Hyatt Regency Bethesda, Bethesda MD — February  
2-3, 2012. “Strategies for Integrating Biomarkers into Clinical  
Development of New Therapies for Lung Cancer ”

**Objective:** To bring together leading academicians, clinicians, industry and government representatives to identify challenges and potential solutions in the clinical development of novel targeted therapies for lung cancer

**Outcomes:** Suggestion to develop Master protocols (by Dr Pazdur) for different stages of Lung Cancer



# FDA Perspective

Targeted drug development presents unique opportunities for “personalized medicine”

## **Master protocols will**

- Provide consistency of development approach regardless of intended target
- Better utilize limited resources (including patient resources)
- Bring safe and effective drugs to patients faster

**Discussions of trial design and endpoints with FDA to occur once protocol and statistical analysis plan are well developed/near-final**

# FDA Perspective

**FDA Drug approval will, however, depend on**

- Integrity of data collected
- Results of the trials
  - Drug effect isolated (clear attribution to drug)
  - Results not only statistically significant but also clinically meaningful
  - Toxicity of the drug.
- Available therapies at the time of approval

**Risk: Benefit Ratio**

## FDA-approved Therapy with Specific Targets in NSCLC

- Erlotinib (EGFR tyrosine kinase inhibitor)
- Bevacizumab (VEGF-A inhibition)
- Crizotinib (ALK inhibitor)

Demonstration of specific molecular abnormalities in patient's tumor not required in FDA-approved indication for erlotinib and bevacizumab but *is* required for crizotinib indication

# FDA Perspective

Companion diagnostic assay/assay performance sufficient to reliably & reproducibly identify “marker-positive” population

Exploratory studies for “marker-negative” population will need to be conducted to:

- Differentiate between prognostic vs predictive markers
- Support device/test kit claims

CDRH should be involved at initial stages of assay development



## FDA Perspective

New drugs/indications for lung cancer will continue to be approved by FDA based on a demonstrated effect on a surrogate endpoint that is **reasonably likely** to predict clinical benefit in a population where there is unmet clinical need.

Such approvals are likely to be based on relatively small trials; confirmatory trials **will** be required to confirm and characterize the actual clinical benefit.





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